

(X)

Charges associated with these are

$$Q_L = \int d^3x y^0_L = \bar{\psi}_L \gamma^0 \psi_L = \psi_L^\dagger \psi_L = N_L$$

$$Q_R = \int d^3x y^0_R = \bar{\psi}_R \gamma^0 \psi_R = \psi_R^\dagger \psi_R = N_R$$

Now recall

$$K^\mu = 4g^2 \epsilon_{\alpha\mu\beta\gamma} \text{Tr} \left\{ A_\nu \partial_\alpha A_\beta + \frac{2ig}{3} A_\nu A_\alpha A_\beta \right\}$$

$$\partial_\mu K^\mu = 2g^2 \text{Tr} F\tilde{F}$$

$$\int d^3x K^0 = 32\pi^2 \times [\text{winding \#}]$$

Define  $J^\mu_A = \frac{1}{32\pi^2} K^\mu$

and

$$J^\mu = J^\mu_S - 2N_f J^\mu_A$$

$$= J^\mu_R - J^\mu_L - 2N_f J^\mu_A$$

$$\partial_\mu J^\mu = \frac{2N_f}{16\pi^2} g^2 \text{tr} F\tilde{F} - \frac{2N_f}{16\pi^2} g^2 \text{tr} F\tilde{F} = 0$$

$$Q = \int d^3x J^0 = N_R - N_L - \frac{1}{4} (\text{winding \#}) \times (2N_f)$$

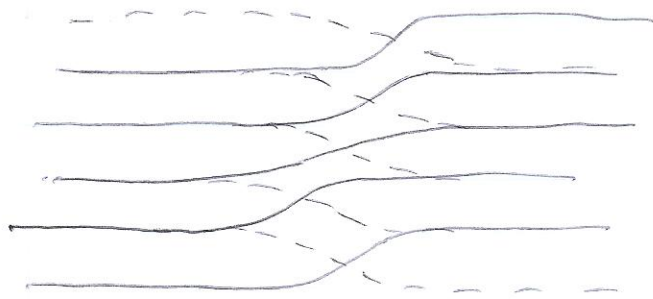
$J^\mu \rightarrow$  gauge-variant, but divergenceless

$A_0 = 0$  gauge,  $Q$  conserved

other gauges  $\rightarrow$  flow through surface at  $r \rightarrow \infty$

$\Rightarrow$  Shifting  $|n\rangle$  vac (e.g., by tunneling), shifts chirality

Dirac sea picture:



$|n\rangle$

$|n+1\rangle$

New & old spectra equiv., just shifted

Adiabatic approx:

$t_{\text{init}}$ : vac  $\rightarrow$  all neg  $E$  states filled  
all pos  $E$  " empty

$t_{\text{final}}$ : occupy 1 pos  $E$  R state  
" 1 neg  $E$  L state

Effect is as if had term in effective  $\mathcal{L}$  of form

$$\mathcal{L}_2 = \prod_j \bar{\psi}_R^j \psi_L^j$$

Orbit

Under chiral transf.,

$$\psi_L^j \rightarrow U_{jk}^L \psi_L^k, \quad U \in SU(N_f)$$

$$\psi_R^j \rightarrow U_{jk}^R \psi_R^k$$

$$\prod_{j \neq k} \psi_L^j \rightarrow \prod_{j \neq k} U_{jk}^L \psi_L^k = (\det U^L) \prod_k \psi_L^k$$

similar for  $\prod_k \bar{\psi}_R^k$

$\Rightarrow$  Extra term in  $\mathcal{L}_{\text{eff}}$  invariant under transf. with  ~~$U^L \Rightarrow U^R$~~   $\det U^L = \det U^R = 1$

$\rightarrow$  invariant under chiral  $SU(N_f) \times SU(N_f)$

$\rightarrow$  breaks chiral  $U(1) \times U(1)$  symmetry

## U(1) prob. of QCD

$m_u, m_d$  small seems to  $\Rightarrow$  approx  $SU(2) \times U(1)$

$m_u, m_d, m_s$  " " "  $\Rightarrow$  approx  $U(3) \times U(1)$

spont. broken  $\Rightarrow m=0$  Goldstone bosons if exact, light pseudoscalars if approx

But

$$m_\pi \approx 139 \text{ MeV}$$

$$m_\eta \approx 549 \text{ MeV} \gg m_\pi$$

OR

$$m_\pi \approx 139$$

$$m_K \approx 495$$

$$m_\eta \approx 549$$

$$m_{\eta'} \approx 958 \gg \pi, K, \eta$$

Now understand, bec. instanton effective term  $\sim (\bar{\psi}\psi)^{N_f}$  breaks chiral U(1)

Note: effects are not exponentially suppressed

# B - violation in $SU(2) \times U(1)$

① Effective instanton

→ With  $\langle \phi \rangle = v$ ,  $S_{Euc}$  decreases with instanton size  $\lambda$

⇒ classically, only  $\lambda \rightarrow 0$  singular solution

But, - expect loop effects to stabilize scale at  $\lambda \sim m_W^{-1}$

⇒ fixed instanton size, no integral over  $\lambda$

② Consider (almost) massless fermions coupled to  $SU(2)$  field strength:

$(\nu, e)_L, (u, d)_L$  → in 3 colors

⇒ Envision processes with  $\Delta L = \pm 2, \Delta B = \pm 2$   
→ B-L conserved, but B+L broken

e.g.,  $p+n \rightarrow e^+ + \bar{\nu}$

Rate: proportional to  $(e^{-S_{inst}})^2 = e^{-\frac{2(8\pi^2)}{g^2}} = e^{-\frac{16\pi^2}{g^2}}$

evaluate  $g^2$  at  $\sim m_W$ ;  $g = \frac{e}{\sin \theta_W}$

$$\frac{16(8\pi^2)}{g^2} = 4\pi \left(\frac{4\pi}{e^2}\right) \sin^2 \theta_W \approx 400$$

$$\Rightarrow \text{rate} \sim e^{-400} \sim e^{-174} \sim e^{-\frac{400}{176}} \sim 10^{-80}$$

Note: obs. univ. has  $\sim 10^{78}$  protons,  $t_{univ}$

$t_{univ} \sim 10^{10}$  yrs  $\sim 10^{40}$  ( $10^{-23}$  sec)

⇒ Negligible effect at  $T=0$

But:  $T \neq 0 \rightarrow$  fluctuate over barrier

$\rightarrow$  Sphalerons

$\rightarrow$  Implic for Baryogenesis

## Effects of $\theta \tilde{F}\tilde{F}$ term

$\epsilon_{\text{ind}B} \Rightarrow$  violates  $P, T$   
 $\Rightarrow$  by CPT, must violate CP

Could this be explanation for observed CP violation?

NO

Consider: a) observed  $\mathcal{CP}$  in K-system

$$\text{e.g., } \frac{A(K_L \rightarrow \pi^+\pi^-)}{A(K_S \rightarrow \pi^+\pi^-)} \sim 10^{-3}$$

b) neutron elec. dipole moment

[Violates T because  $\langle \vec{E} \cdot \vec{d} \rangle \sim \langle \vec{E} \cdot \vec{S} \rangle$   
(cf., mag. dipole moment)]

$$\cdot < 0.29 \times 10^{-25} \text{ e-cm}$$

Natural scale might be  $\sim 10^{-13} \text{ e-cm}$

$\Rightarrow$  suppression of  $\sim 10^{-12} - 10^{-13}$

$\Rightarrow$  need  $\theta \lesssim 10^{-9}$

This would be too small to explain  $\mathcal{CP}$  in K-system  
Latter is fit by CKM

But have problem: Why is  $\theta$  so small?  
Strong CP-problem

Now include effect of fermion mass terms

Usually write

$$m \bar{\psi} \psi = m (\bar{\psi}_L \psi_R + \bar{\psi}_R \psi_L)$$

Suppose  $m$  complex:

$$m \bar{\psi}_L \psi_R + m^* \bar{\psi}_R \psi_L$$

Could make  $m$  real by  $\psi \rightarrow e^{i\beta \gamma_5} \psi$ ,  
with approp.  $\beta$

Noether-type args, using fact that this is <sup>anomalous</sup> ~~not~~

$$\Rightarrow \Delta \mathcal{L} = 2i\beta m \bar{\psi} \gamma_5 \psi + \frac{2\beta}{16\pi^2} \text{tr} F \tilde{F}$$

$$\Rightarrow m \rightarrow m(1+i\beta), \quad \theta \rightarrow \theta + \beta$$

$\Rightarrow$  Change in phase of  $m \leftrightarrow$  change of  $\theta$

Generalize to many fields:  $[\bar{\psi}_L M \psi_R]$

$$\bar{\theta} = \theta - \text{arg det } M$$

is the invariant quantity, relevant number  
for strong CP violation

If masses from Higgs (of arbitrary phase),  
even less likely to get small  $\theta$



## Solutions?

- 1) Finely-tuned  $\theta$
- 2) One quark massless [ $m_u^2$ ], so phase undetermined, can rotate ~~any~~ away  $\theta$
- 3) Add extra scalar, extra sym.  
(Peccei-Quinn)  
 $\Rightarrow \theta_{\text{eff}}$  dynamically  $\rightarrow 0$

But then have pseudo-Goldstone boson - AXION