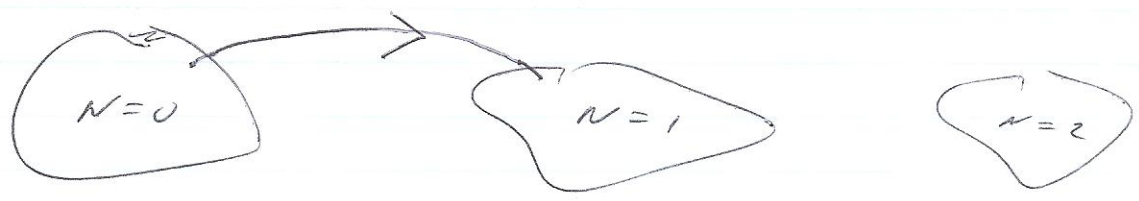
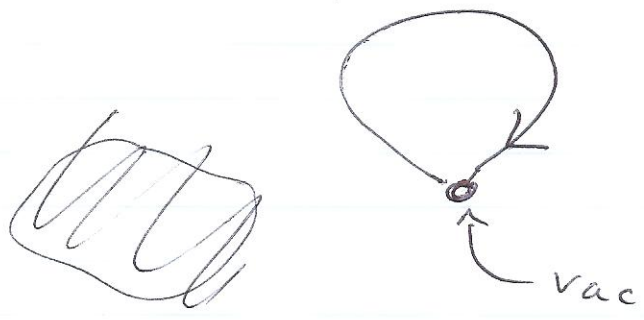


Recall:

$A_0=0$  gauge: Disconnected vacuum sectors,  
winding #'s  $N$ ,  
can tunnel between



Gauge where  $F=0 \Rightarrow A=0$   
Tunneling path is



$\theta$ -vac: linear comb. of  $|N\rangle$

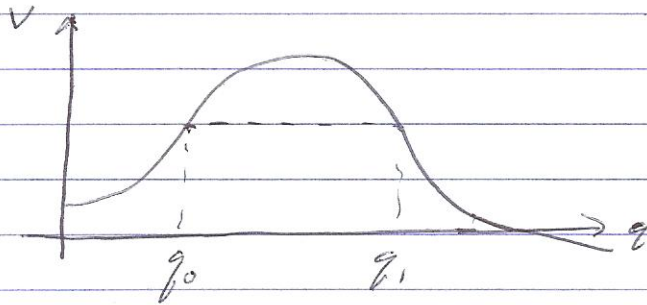
Effect same as  $\Delta \mathcal{L} \sim \theta \text{Tr} F \tilde{F}$

$$\Delta \mathcal{L} = \frac{\theta g^2}{16\pi^2} \text{Tr} F \tilde{F}$$

# QM tunneling

a) 1 deg of freedom

$$L = \frac{1}{2} \dot{q}^2 - V(q), \quad H = \frac{1}{2} \dot{q}^2 + V(q)$$



$$V(q_0) = V(q_1) = E$$

WKB: Tunneling amplitude  $\sim e^{-B/2}$

$$\frac{1}{2} B = \int_{q_0}^{q_1} dq \sqrt{2[V(q) - E]}$$

Many d.o.f.:  $L = \sum \frac{1}{2} \dot{q}_j^2 - V(q_1, q_2, \dots)$

For each path through barrier, calc. B as in 1-dim problem

Path with minimum B  $\rightarrow$  dominates

$$\Rightarrow 0 = \delta \int ds \sqrt{2[V(q_j(s)) - E]} \quad ds^2 = \sum dq_j^2$$

Classical mech:

$$\begin{aligned} 0 = \delta \int ds \sqrt{2[E - U(s)]} &\Leftrightarrow 0 = \delta \int dt L \\ &= \delta \int dt \left[ \frac{1}{2} \dot{q}_j^2 - U(q) \right] \\ \Rightarrow \ddot{q} &= -\frac{\partial U}{\partial q} \end{aligned}$$

Our problem: Like mechanics problem, but  $V \leftrightarrow -U$

$$\Rightarrow \text{solve } \ddot{q} = V'(q)$$

Suppose  $q_0 = (\text{local}) \text{ min.}$ , calc B

Usual sign:  $L = \frac{1}{2} \dot{q}^2 - U \Rightarrow \frac{1}{2} \dot{q}^2 + U = \text{const}$

Our sign:  $L_{\text{Euc}} = \frac{1}{2} \dot{q}^2 + V \Rightarrow \frac{1}{2} \dot{q}^2 - V = \text{const}$

If  $E = V(q_0)$ ,  $V - E = V(q) - V(q_0)$

But  $V - \frac{1}{2} \dot{q}^2 = \text{const} = V(q_0)$

$\Rightarrow V - E = \frac{1}{2} \dot{q}^2$

$\int dq \sqrt{2[V(q) - E]} = \int dq \dot{q} = \int dt \dot{q}^2$

$= \frac{1}{2} \int dt \left\{ \frac{1}{2} \dot{q}^2 + V(q) - V(q_0) \right\}$

$= S_{\text{Euc}}(\text{sol'n}) - S_{\text{Euc}}(\text{static } q_0)$

### Field theory

By analogy) Scalar field:

$$\mathcal{L} = \frac{1}{2} (\dot{\phi})^2 - V(\phi) = \frac{1}{2} \dot{\phi}^2 - \left[ \frac{1}{2} (\nabla\phi)^2 + V(\phi) \right]$$

$$\mathcal{L}_{\text{Euc}} = \frac{1}{2} \left( \frac{d\phi}{d\tau} \right)^2 + \left[ \frac{1}{2} (\nabla\phi)^2 + V(\phi) \right]$$

$$\frac{1}{2} B = \int d\tau \int d^3x \left\{ \frac{1}{2} \left( \frac{d\phi}{d\tau} \right)^2 + \frac{1}{2} (\nabla\phi)^2 + V(\phi) \right\}$$

$\rightarrow$  Euc eq of motion

Note:  $\tau =$  dummy variable  $\leftrightarrow$  parameterizes path  
NOT real time

**Solution = Instanton**

Note: Can derive by using Euc. path integral

# Vac tunneling in Yang-Mills

Want to solve Euc. eqs

$$D_\mu F_{\mu\nu} = 0$$

Bdy cond:  $t_0 = -T/2$  ;  $F=0$ ,  $A = -\frac{i}{g} G_0^{-1} \partial G_0$

$t_0 = T/2$  ;  $F=0$ ,  $A = -\frac{i}{g} G_1^{-1} \partial G_1$

→  $G_0, G_1$  have different winding #'s

→ Don't fix  $A_0=0$ ; once have a solution, can put it in desired gauge

→ Solution will determine  $T$   
⇒ will find that  $T = \infty$

Suppose  $\Delta(\text{winding \#}) = \pm 1$

⇒ We want solution with

$$\int d^4x \text{Tr} F\tilde{F} = \pm \frac{16\pi^2}{g^2}$$

Dominant effect from solution with smallest  $S_{\text{Euc}}$

$$\begin{aligned}
S_{Evc} &= \int d^4x \operatorname{Tr} \left\{ \frac{1}{2} F^2 \right\} \\
&= \int d^4x \operatorname{Tr} \left\{ \frac{1}{4} F^2 + \frac{1}{4} \tilde{F}^2 \right\} \\
&= \int d^4x \operatorname{Tr} \left\{ \frac{1}{4} (F \mp \tilde{F})^2 \pm \frac{1}{2} F \tilde{F} \right\} \\
&\geq \left| \frac{1}{2} \int d^4x \operatorname{Tr} F \tilde{F} \right|
\end{aligned}$$

with equality ~~is~~ if

self-dual	$F = \tilde{F}$	$(\frac{1}{2} \int d^4x \operatorname{Tr} F \tilde{F} > 0)$	<u>instanton</u>
anti-self-dual	$F = -\tilde{F}$	$(\quad \quad \quad < 0)$	<u>anti-inst.</u>

In fact, have solutions that saturate bound

$$S_{\text{instanton}} = \frac{8\pi^2}{g^2}$$

# Explicit solution: (SU(2))

Define

$$\eta_{\mu\nu}^a = \begin{cases} \epsilon_{\mu\nu\lambda} & (\mu, \nu = 1, 2, 3) \\ -\delta_{a\mu} & (\mu = 4) \\ \delta_{a\mu} & (\nu = 4) \\ 0 & \mu = \nu = 4 \end{cases}$$

$\bar{\eta}_{\mu\nu}^a \rightarrow$  signs reversed if  $\mu$  or  $\nu = 4$

$$A_{\mu}^a(x) = \frac{2}{g} \eta_{\mu\nu}^a \frac{(x-z)_{\nu}}{[(x-z)^2 + \lambda^2]}$$

Note: 1)  $|x| \rightarrow \infty \Rightarrow A \sim \frac{1}{x}$ , but  $F \sim \frac{1}{x^4}$

2)  $z \rightarrow$  translation collective coord  
 $\vec{z} \rightarrow$  spatial trans  
 $z_4 \rightarrow$  artifact of calc.

$\vec{z} \rightarrow$  region where effects are concentrated

3)  $\lambda \rightarrow$  "instanton size"  
Classically, ~~not~~ Y.M is scale invariant  
 $\Rightarrow$  all  $\lambda$  allowed  
all ~~of them~~ give equal action

QM: one-loop effects [t Hooft]

$$\Rightarrow g^2 = g^2(\lambda)$$

$$S = \frac{8\pi^2}{g^2(\lambda)}$$

$\lambda$  large  $\Rightarrow g^2(\lambda) \uparrow \Rightarrow S \downarrow \Rightarrow$  larger effect

4)  $\gamma \rightarrow \bar{\gamma} \Rightarrow$  anti-instanton

Multinstanton/anti-instanton sol's

$\Rightarrow$  approx. sol's with widely separated instantons, anti-instantons

$\rightarrow$  describe processes with local winding number changing in many places

Counting instanton effects:

i) Sum over positions  
~~( $SU(2)$  orientations)~~  
 $\rightarrow$  Dilute gas approx

ii) Sum over scales  
 $\Rightarrow$  breakdown of DGA

iii) Sum over  $SU(2)$  orientations

# Fermions

Recall anomaly:

$$\mathcal{L} = \sum_{i=1}^{N_f} \bar{\psi}_i (i\not{\partial} - m_i) \psi_i$$

Let  $m_i \rightarrow 0$

Classically,  $\Rightarrow U(N) \times U(N)$  chiral sym.

$U(1)$  currents:

$$j^\mu = \bar{\psi} \gamma^\mu \psi$$

$$j_5^\mu = \bar{\psi} \gamma^\mu \gamma^5 \psi$$

$$\partial_\mu j^\mu = 0 \quad , \quad \partial_\mu j_5^\mu = 0$$

QM: Ward Identities involving  $j_5^\mu$  false

As if

$$\partial_\mu j_5^\mu \sim \text{tr } F \tilde{F}$$

If  $N_f$  flavors,

$$\partial_\mu j_5^\mu = \frac{2N_f}{16\pi^2} g^2 \text{Tr } F_{\mu\nu} \tilde{F}_{\mu\nu}$$

Interpret in terms of chirality

$$\psi_L = \frac{1}{2}(1 - \gamma_5) \psi$$

$$\psi_R = \frac{1}{2}(1 + \gamma_5) \psi$$

~~Def~~ Def 
$$j_{\mu L} = \frac{1}{2}(j_\mu - j_{\mu 5})$$
$$j_{\mu R} = \frac{1}{2}(j_\mu + j_{\mu 5})$$

$$\partial_\mu j_{\mu L} = -\frac{N_f}{16\pi^2} g^2 \text{tr } F \tilde{F}$$

$$\partial_\mu j_{\mu R} = +\frac{N_f}{16\pi^2} g^2 \text{tr } F \tilde{F}$$