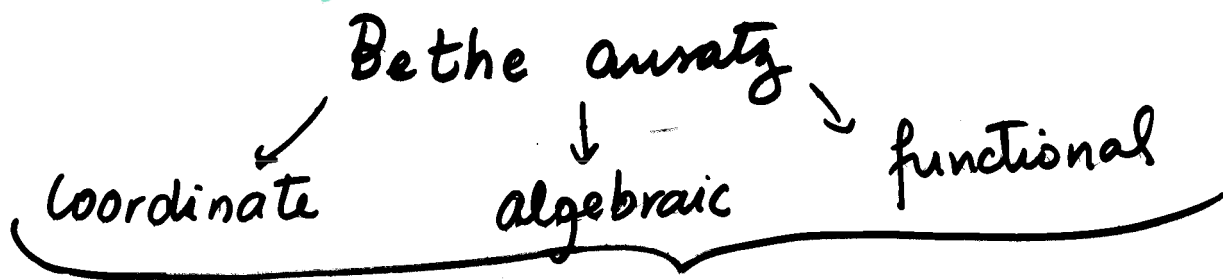


EXACT SOLUTION OF THE
ASYMMETRIC EXCLUSION PROBLEM
WITH
PARTICLES OF ARBITRARY SIZES:
A MATRIX PRODUCT ANSATZ

F. C. ALCARAZ

M. J. LAZO

Univ. S. Paulo - São Carlos
BRAZIL



Fundamental tool for the integrability of Quantum chains

$$H = \sum_{i=1}^L h_{i,i+1} \Rightarrow \begin{cases} \text{EXACT INTEGRABLE} \\ \infty \# \text{ Bethe states} \end{cases}$$

Matrix Product Ansatz (MPA)

- Non-integrable chains but a single state is exactly known (the ground state)
 - VBS Hamiltonians (Affleck, Kennedy, Lieb, Tasaki '81)
 - Stochastic models with open boundaries (only stationary states) Derrida, Evans, Hakim, Pasquier '93
- Dynamical Product Ansatz
 - Give also non-stationary states Schultz, Stinchcombe, Popkov '95, 2002 (not only the ground-state of the related Hamiltonian)

Reason: The associated quantum chain is exactly integrable

Question

Is it possible to formulate a
Matrix Product Ansatz as powerfull
as the Bethe ansatz?

Answer



Asymmetric diffusion of particles



$$\text{Red particle at } i \equiv 1_i$$

$$\text{Black dot at } i \equiv 0_i$$

Configuration: $\{\beta\} = \{\beta_1, \dots, \beta_L\} = \{\dots \bullet \bullet \dots \bullet \bullet\}$

Dynamics \rightarrow Transition rates

Diffusion to the right



Diffusion to the left



Question.

$P(\{\beta\}, t) \equiv$ Probability of a given configuration $\{\beta\}$ happen at Time t .

Master Equation

$$\frac{\partial P(\{\beta\}, t)}{\partial t} = \sum_{\{\beta'\} \neq \{\beta\}} W(\{\beta'\} \rightarrow \{\beta\}) P(\{\beta'\}, t)$$

$$\sum_{\{\beta'\} \neq \{\beta\}} W(\{\beta\} \rightarrow \{\beta'\}) P(\{\beta\}, t)$$

$$\frac{\partial P(\{\beta\}, t)}{\partial t} = -\hat{H} P(\{\beta\}, t)$$

"Quantum" chain governing time fluctuations

Generalizations of the asymmetric exclusion problem

- (I) Instead of having size 1, each individual particle may have a distinct size $\Delta = 0, 1, 2, 3,$



→ No excluded volume (keep the ordering)

Site occupations

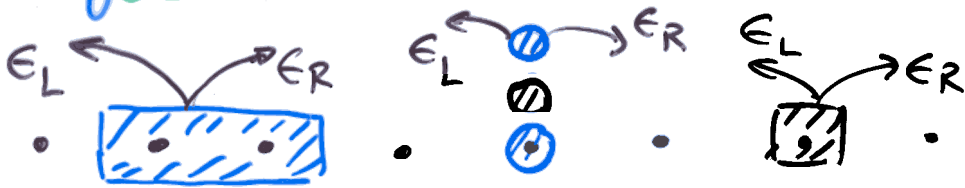
$\beta_i = 0$ site vacant or occupied by a monomer of the molecule on its leftmost.

> 0 site occupied by a molecule with size β_i

< 0 site occupied by $n = |\beta_i|$ molecules of size zero

↓ $\{\beta\} = \{\beta_1, \dots, \beta_{10}\} = \{1, 0, 3, 0, 0, -3, 0, 0, 2, 0\}$

Dynamical Processes



$$\frac{\partial P(\gamma, \beta, t)}{\partial t} = -H P(\gamma, \beta, t)$$

$$H = -D \mathcal{P} \sum_{i=1}^L (H_i^> + H_i^<) \mathcal{P}$$

$$H_i^> = \sum_{\beta=1}^{\infty} \left[\epsilon_+ (1 - E_i^{\beta,0} E_{i+1}^{0,\beta}) \mathcal{P} E_i^{0,\beta} E_{i+1}^{\beta,0} + \epsilon_- (1 - E_i^{0,\beta} E_{i+1}^{\beta,0}) \mathcal{P} E_i^{\beta,0} E_{i+1}^{0,\beta} \right]$$

$$H_i^< = \sum_{\beta=-\infty}^{-1} \sum_{\delta=-\infty}^0 \left[\epsilon_+ (E_i^{\beta+1,\beta} E_{i+1}^{\delta-1,\delta} - E_i^{\beta\beta} E_{i+1}^{\delta\delta}) + \epsilon_- (E_i^{\delta+1,\delta} E_{i+1}^{\beta+1,\beta} - E_i^{\delta\delta} E_{i+1}^{\beta\beta}) \right]$$

$$D = \epsilon_R + \epsilon_L, \quad \epsilon_+ = \frac{\epsilon_R}{\epsilon_R + \epsilon_L}, \quad \epsilon_- = \frac{\epsilon_L}{\epsilon_R + \epsilon_L}$$

$$E^{\alpha,\beta}_{i,j} = \delta_{\alpha,i} \delta_{\beta,j} \quad (\alpha, \beta, i, j \in \mathbb{Z})$$

$$\text{If } \Lambda_1 = \Lambda_2 = \dots = \Lambda_n = \Lambda \geq 1$$

$$H_{\{\Lambda_1 = \dots = \Lambda_n = \Lambda\}} = - \mathcal{P}_{\Lambda} \left(\sum_{i=1}^L (\epsilon_+ \sigma_i^- \sigma_{i+1}^+ + \epsilon_- \sigma_i^+ \sigma_{i+1}^- + \frac{1}{4} (\epsilon_+ + \epsilon_-) (\sigma_i^2 \sigma_{i+1}^2 - 1)) \right) \mathcal{P}_{\Lambda}$$

$\mathcal{P}_{\Lambda} \equiv$ Projects out



THE EXACT SOLUTION (MPA)



n molecules sizes $\{\lambda_1, \lambda_2, \dots, \lambda_n\}$

Since no interchange Order $\lambda_1, \lambda_2, \dots, \lambda_n$ fixed

Periodic Boundaries \Rightarrow momentum P is conserved

$$\left. \begin{aligned} &\{\lambda_1, \dots, \lambda_n\} \\ &P = \frac{2\pi}{L} j \quad (j=0, \dots, L-1) \end{aligned} \right\} \text{good quantum numbers}$$

A general eigenstate:

$$H |\psi_{\{\lambda_1, \dots, \lambda_n\}, P}\rangle = E_n |\psi_{\{\lambda_1, \dots, \lambda_n\}, P}\rangle$$

$$T |\psi_{\{\lambda_1, \dots, \lambda_n\}, P}\rangle = e^{iP} |\psi_{\{\lambda_1, \dots, \lambda_n\}, P}\rangle$$

$$P = \frac{2\pi}{L} j \quad (j=0, \dots, L-1)$$

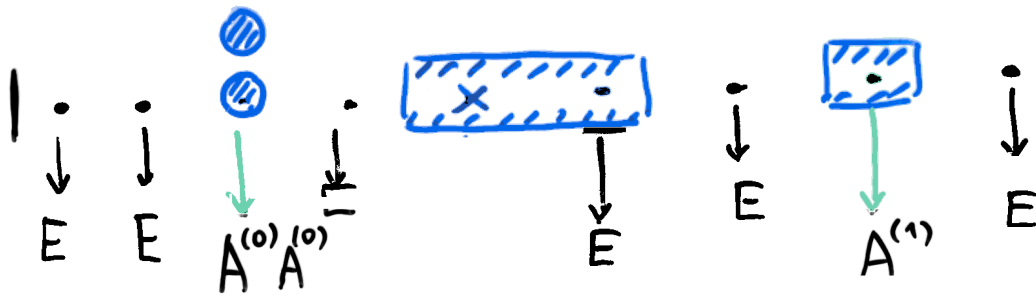
$$|\psi_{\{\lambda_1, \dots, \lambda_n\}, P}\rangle = \sum_{\{c\}} \sum_{\{x\}} f^{\lambda_{c_1}, \dots, \lambda_{c_n}}(x_1, \dots, x_n) |x_1, \dots, x_n\rangle$$

unknown!

$$x_{i+1} - x_i \geq \lambda_{c_i}, \quad i=1, \dots, n-1$$

$$\lambda_{c_1} \leq x_n - x_1 \leq L - \lambda_{c_n}$$

Matrix Product Ansatz



$$f^{s_1, \dots, s_n}(x_1, \dots, x_n) = \text{Tr} (E^{x_1-1} A^{(s_1)} E^{x_2-x_1-1} A^{(s_2)} \dots E^{x_n-x_{n-1}-1} A^{(s_n)} E^{L-x_n} \Omega_p)$$

$E, A^{(s_i)}, \Omega_p \rightarrow$ matrices forming an **associative algebra** } Unknown!

Translation Invariance

$$\Rightarrow T |\psi_{s_1, \dots, s_n, p}\rangle = e^{iP} |\psi_{s_1, \dots, s_n, p}\rangle$$

$$f^{s_1, \dots, s_n}(x_1+m, \dots, x_n+m) = e^{-iPm} f^{s_1, \dots, s_n}(x_1, \dots, x_n)$$

$$E \Omega_p = e^{-iP} \Omega_p E, \quad A^{(s_i)} \Omega_p = e^{-iP} \Omega_p A^{(s_i)}$$

$n=1$ particle (size s)

$$H_{1,p} |\psi_{1,p}\rangle = \epsilon_{1,p} |\psi_{1,p}\rangle, \quad |\psi_{1,p}\rangle = \sum_{1 \leq x \leq L} f^s(x) |x\rangle$$

$$\epsilon_{1,p} \text{Tr} [E^{x-1} A^{(s)} E^{L-x} \Omega_p] = -\epsilon_+ \text{Tr} [E^{x-2} A^{(s)} E^{L-x+1} \Omega_p]$$

$$-\epsilon_- \text{Tr} [E^x A^{(s)} E^{L-x-1} \Omega_p] + \text{Tr} [E^{x-1} A^{(s)} E^{L-x} \Omega_p]$$

The cyclic property of the trace \Rightarrow

$$\epsilon_{1,p} = -(\epsilon_+ e^{-ip} + \epsilon_- e^{ip})$$

$$AE = e^{-ip} EA$$

It is convenient to define $A = A_k E^{2-\Delta}$

$$EA_k = e^{ik} A_k E$$

$$A_k \Sigma_p = \Sigma_p A_k$$

$$\epsilon_{1,p} = \epsilon(k) = -(\epsilon_+ e^{-ik} + \epsilon_- e^{ik})$$

$$k = p = \frac{2\pi}{L} j \quad (j=0, 1, \dots, L-1)$$

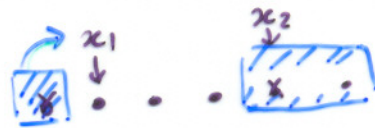
n=2 particles

$$H |\psi_{2,p}^{\{\Delta_1, \Delta_2\}}\rangle = \epsilon_2^{\{\Delta_1, \Delta_2\}} |\psi_{2,p}^{\{\Delta_1, \Delta_2\}}\rangle$$

• two types of relations

$$\begin{cases} x_2 > x_1 + \Delta_1 \\ x_2 = x_1 + \Delta_1 \end{cases}$$

• $x_2 > x_1 + \Delta_1$



$$\epsilon_{2,p}^{\{\Delta_1, \Delta_2\}} \text{Tr} (E^{x_1-1} A^{(\Delta_1)} E^{x_2-x_1-1} A^{(\Delta_2)} E^{L-x_2} \Sigma_p) = -\epsilon_+ \text{Tr} (E^{x_1-2} A^{(\Delta_1)} E^{x_2-x_1} A^{(\Delta_2)} E^{L-x_2} \Sigma_p)$$



$$-\epsilon_- \text{Tr} (E^{x_1} A^{(\Delta_1)} E^{x_2-x_1-2} A^{(\Delta_2)} E^{L-x_2} \Sigma_p) - \epsilon_+ \text{Tr} (E^{x_1-1} A^{(\Delta_1)} E^{x_2-x_1} A^{(\Delta_2)} E^{L-x_2-1} \Sigma_p)$$



$$-\epsilon_- \text{Tr} (E^{x_1-1} A^{(\Delta_1)} E^{x_2-x_1-2} A^{(\Delta_2)} E^{L-x_2-1} \Sigma_p) + 2 \text{Tr} (E^{x_1-1} A^{(\Delta_1)} E^{x_2-x_1-1} A^{(\Delta_2)} E^{L-x_2} \Sigma_p)$$

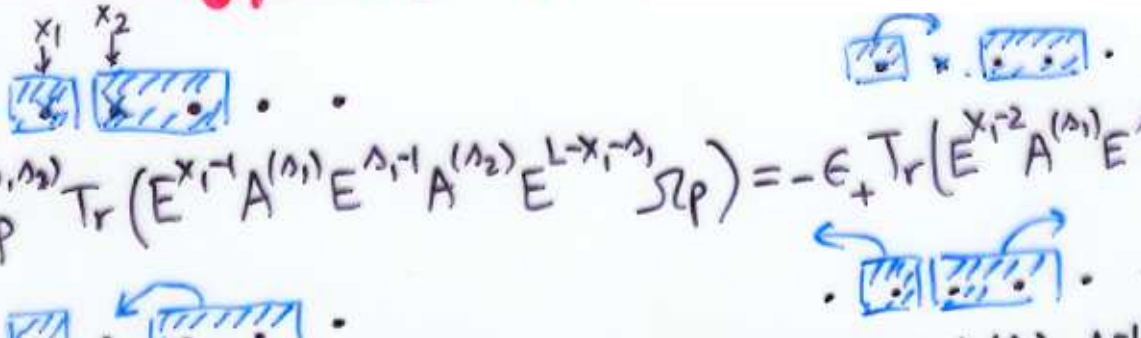
Solution: $A^{(\Delta_j)} = (A_{k_1}^{(\Delta_j)} + A_{k_2}^{(\Delta_j)}) E^{(2-\Delta_j)}$, $j = 1, 2$

Solution: $A^{(\Delta_j)} = (A_{k_1}^{(\Delta_j)} + A_{k_2}^{(\Delta_j)}) \quad j=1,2$, $E A_{k_j}^{(\Delta_e)} = A_{k_j}^{(\Delta_e)} E$

$$A_{k_j}^{(\Delta_e)} S_p = e^{iP(1-\Delta_e)} S_p A_{k_j}^{(\Delta_e)} , \quad j=1,2 ; e=1,2$$

$$P = k_1 + k_2 \quad \epsilon_{2,P}^{(\Delta_1, \Delta_2)} = \sum_{j=1}^2 \epsilon(k_j) = - \sum_{j=1}^2 (\epsilon_+ e^{-ik_j} + \epsilon_- e^{ik_j} - 1)$$

• **Second Type** $\chi_2 = \chi_1 + \Delta_1$



• $\epsilon_{2,P}^{(\Delta_1, \Delta_2)} \text{Tr}(E^{x_1-1} A^{(\Delta_1)} E^{\Delta_1-1} A^{(\Delta_2)} E^{L-x_1-\Delta_1} S_p) = -\epsilon_+ \text{Tr}(E^{x_1-2} A^{(\Delta_1)} E^{\Delta_1} A^{(\Delta_2)} E^{L-x_1-\Delta_1} S_p)$

• $-\epsilon_- \text{Tr}(E^{x_1-1} A^{(\Delta_1)} E^{\Delta_1} A^{(\Delta_2)} E^{L-x_1-\Delta_1-1} S_p) + \text{Tr}(E^{x_1-1} A^{(\Delta_1)} E^{\Delta_1-1} A^{(\Delta_2)} E^{L-x_1-\Delta_1} S_p)$

Solution

$$A_{k_j}^{(\Delta_e)} A_{k_m}^{(\Delta_r)} = S(k_j, k_m) A_{k_m}^{(\Delta_e)} A_{k_j}^{(\Delta_r)} , \quad (j \neq m; e, r=1,2);$$

$$A_{k_j}^{(\Delta_e)} A_{k_j}^{(\Delta_r)} = 0, \quad S(k_j, k_m) = - \frac{\epsilon_+ + \epsilon_- e^{i(k_j+k_m)} - e^{ik_j}}{\epsilon_+ + \epsilon_- e^{i(k_j+k_m)} - e^{ik_m}}$$

$k_1, k_2 \rightarrow$ unknown

Cyclic Property of trace $\Rightarrow \text{Tr}(A_{k_e}^{(\Delta_1)} A_{k_j}^{(\Delta_2)} E^{L-\Delta_1-\Delta_2+2} S_p) =$

$$e^{-ik_j L} e^{ik_j(\Delta_1+\Delta_2-2)} e^{-iP(\Delta_2-1)} S(k_j, k_e) \cdot \text{Tr}(A_{k_e}^{(\Delta_2)} A_{k_j}^{(\Delta_1)} E^{L-\Delta_1-\Delta_2+2} S_p)$$

$$= \underbrace{[e^{-ik_j L} e^{ik_j(\Delta_1+\Delta_2-2)} S(k_j, k_e)]^2}_{1} e^{-iP(\Delta_1+\Delta_2-2)} \cdot \text{Tr}(A_{k_e}^{(\Delta_1)} A_{k_j}^{(\Delta_2)} E^{L-\Delta_1-\Delta_2+2} S_p)$$

$$e^{ik_j L} = e^{\frac{i2\pi}{\lambda} m} \left(\frac{e^{ik_j}}{e^{ik_e}} \right)^{\bar{s}-1} S(k_j, k_e); \quad j \neq e = 1, 2; \quad \Delta_1 \neq \Delta_2$$

$m = 0, 1$

Due to the distinguishability of particles

General n

$$A^{(\Delta_j)} = \sum_{e=1}^n A_{k_e}^{(\Delta_j)} E^{2-\Delta_j}, \quad A_{k_j}^{(\Delta_e)} \Omega_p = e^{iP(1-\Delta_e)} \Omega_p A_{k_j}^{(\Delta_e)}$$

$$E A_{k_e}^{(\Delta_j)} = e^{ik_e} A_{k_e}^{(\Delta_j)} E$$

$$A_{k_j}^{(\Delta_t)} A_{k_e}^{(\Delta_u)} = S(k_j, k_e) A_{k_e}^{(\Delta_t)} A_{k_j}^{(\Delta_u)} \quad (j \neq e)$$

$$A_{k_j}^{(\Delta_t)} A_{k_j}^{(\Delta_u)} = 0 \quad (j, e, t, u = 1, \dots, n)$$

$$e^{ik_j L} = e^{\frac{i2\pi}{\lambda} m \frac{n}{\bar{s}}} \prod_{e=1}^n S(k_j, k_e) \left(\frac{e^{ik_j}}{e^{ik_e}} \right)^{\bar{s}-1}, \quad m = 0, 1, \dots, n-1, \quad j = 1, \dots, n$$

$$\bar{s} = \frac{1}{n} \sum_{j=1}^n \Delta_j$$

$$\epsilon_n = \sum_{j=1}^n \epsilon(k_j) = - \sum_{j=1}^n \left(\epsilon_+ e^{-ik_j} + \epsilon_- e^{ik_j} - 1 \right)$$

II Generalization of the asymmetric diffusion problem

- Particles belonging to N distinct classes with hierarchical order
- Each particle has a size $\Delta_i = 0, 1, 2, \dots$ and belong to a given class $C_i = 1, 2, \dots, N$.

Stochastic Processes



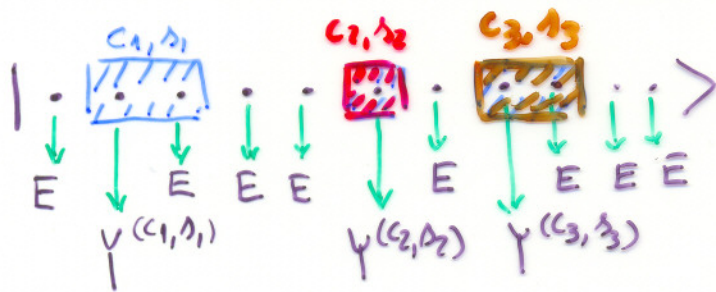
Good quantum numbers $\{C_1, \Delta_1; \dots, C_n, \Delta_n\}$ } do not keep order!
 $C_j = 1, \dots, N$, $\Delta_j = 0, 1, 2, \dots$

- The Hamiltonian for $\Delta_1 = \Delta_2 = \dots = \Delta_n = 1$ is related to the $SU_q(N)$ Perk-Schultz model $q = \sqrt{E_R/E_L}$

We want to find the eigenfunctions

$$|C_1, \Delta_1; \dots, C_n, \Delta_n\rangle = \sum_{\{C_i, \Delta_i\}} \sum_{\{x_j\}} f^{(C_1, \Delta_1; \dots, C_n, \Delta_n)}(x_1, \dots, x_n) |x_1, x_2, \dots, x_n\rangle$$

Matrix Product Ansatz



$$f(c_1, s_1, \dots, c_n, s_n; x_1, \dots, x_n) = \text{Tr} \left[E^{x_1-1} \psi^{(c_1, s_1)} E^{x_2-x_1-1} \psi^{(c_2, s_2)} \dots E^{x_n-x_{n-1}-1} \psi^{(c_n, s_n)} E^{L-x_n} \right] P$$

Periodic Boundaries \Rightarrow Momentum Conservation $\Rightarrow P = \frac{2\pi}{L} j, (j=0, 1, \dots, L-1)$

$$E \Omega_p = e^{-iP} \Omega_p E, \quad \psi^{(c, s)} \Omega_p = e^{-iP} \Omega_p \psi^{(c, s)}$$

$$H |c_1, s_1, \dots, c_n, s_n\rangle = E_n |c_1, s_1, \dots, c_n, s_n\rangle$$

- Relations where all particles at no "colliding" positions

$$\psi^{(c, s)} = \sum_{j=1}^n \psi_{k_j}^{(c, s)} E^{2-s}; \quad E \psi_{k_j}^{(c, s)} = e^{ik_j} \psi_{k_j}^{(c, s)} E$$

$$\psi_{k_j}^{(c, s)} \Omega_p = e^{iP(1-s)} \Omega_p \psi_{k_j}^{(c, s)}; \quad (j=1, \dots, n; c=1, 2, \dots, N; s=0, 1, 2, \dots)$$

- Relations where two particles at "colliding" positions

$$\psi_{k_e}^{(c_1, s_1)} \psi_{k_m}^{(c_2, s_2)} = \sum_{c'_1, c'_2=1}^N S_{c'_1 c'_2}^{c_1 c_2}(k_e, k_m) \psi_{k_m}^{(c'_1, s_1)} \psi_{k_e}^{(c'_2, s_2)} \quad (k_e \neq k_m)$$

$$\psi_{k_e}^{(c_1, s_1)} \psi_{k_e}^{(c_2, s_2)} = 0 \quad (l, m=1, \dots, n; c_1, c_2=1, \dots, N)$$

Structure Constants (like a S-matrix)

The constants k_j ($j=1, \dots, n$) are fixed by the cyclic property of the trace -14

$$\text{Tr} \left[Y_{k_1}^{(c_1, \lambda_1)} Y_{k_2}^{(c_2, \lambda_2)} \dots Y_{k_n}^{(c_n, \lambda_n)} E^{L - \sum_{e=1}^n (\lambda_e - 1)} \Omega_p \right] = e^{i n k_j L} e^{i \sum_{e=1}^n (\lambda_e - 1) [P - n k_j]}$$

$$\sum_{c'_1, \dots, c'_n} \langle c_1, \dots, c_n | \mathcal{T}^n | c'_1, \dots, c'_n \rangle \text{Tr} \left[Y_{k_1}^{(c'_1, \lambda_1)} \dots Y_{k_n}^{(c'_n, \lambda_n)} E^{L - \sum_{j=1}^n (\lambda_j - 1)} \Omega_p \right]$$

$\mathcal{T} \equiv$ Transfer matrix of a $(2N^2 - N)$ -inhomogeneous vertex model with Boltzmann Weights $S_{c_1 c_2}^{c'_1 c'_2}$ and Periodic boundary conditions



$$\mathcal{T} |\varphi\rangle = \Lambda(k_j, \{k_e\}) |\varphi\rangle$$

evaluated by the coordinate or algebraic Bethe ansatz

$$e^{i k_j [L + n - \sum_{j=1}^n \lambda_j]} = e^{\frac{i 2\pi r}{n}} e^{i P (\bar{\lambda} - n)} \Lambda(k_j, \{k_e\})$$

CONCLUSIONS

- 1) It is possible to introduce a Matrix Product Ansatz for the stochastic models. exactly integrable
 - 2) The MPA provide an unified prescription enabling the inclusion of hard-core interactions
(Produce solutions more easily than the standard Bethe Ansatz)
 - 3) The MPA can be applied to quantum chains related or not to stochastic models
- Spin-1 models) Fateev - Zamolodchikov,
Izergin Korepin
- Spin- $\frac{3}{2}$ models) t-J model, Hubbard model,
Perk-Schultz model, etc